UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

55748

7590

07/09/2009

TYCO HEALTHCARE GROUP LP 15 HAMPSHIRE STREET MANSFIELD, MA 02048 EXAMINER
CHAPMAN, GINGER T
ART UNIT PAPER NUMBER

3761 DATE MAILED: 07/09/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/810.231	03/25/2004	Mary Jo. A. Toomey	7056 US (1)	9460

TITLE OF INVENTION: BODY FLUID COLLECTION APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	10/09/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 55748 7590 07/09/2009 Certificate of Mailing or Transmission TYCO HEALTHCARE GROUP LP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 15 HAMPSHIRE STREET MANSFIELD, MA 02048 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/810,231 03/25/2004 Mary Jo. A. Toomey 7056 US (1) 9460 TITLE OF INVENTION: BODY FLUID COLLECTION APPARATUS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 10/09/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS CHAPMAN, GINGER T 3761 604-403000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/810,231	03/25/2004	Mary Jo. A. Toomey	7056 US (1)	9460	
55748 75	590 07/09/2009		EXAM	INER	
TYCO HEALTHCARE GROUP LP			CHAPMAN, GINGER T		
15 HAMPSHIRE STREET			ART UNIT	PAPER NUMBER	
MANSFIELD, MA	A 02048		3761		
			DATE MAILED: 07/09/2009		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 328 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 328 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)		
		Applicant(5)		
Notice of Allowability	10/810,231	TOOMEY ET AL.		
Notice of Allowability	Examiner	Art Unit		
	Ginger T. Chapman	3761		
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED ir or other appropriate commu GHTS. This application is s	this application. If not included inication will be mailed in due course		
1. This communication is responsive to <u>03/11/2009</u> .				
2. X The allowed claim(s) is/are <u>1-7,9-12,44,45 and 47-52</u> .				
 3. ☐ Acknowledgment is made of a claim for foreign priority un a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 	been received.			
3. ☐ Copies of the certified copies of the priority doc	• • • • • • • • • • • • • • • • • • • •		om the	
International Bureau (PCT Rule 17.2(a)).	differits flave been received	an this national stage application no	on the	
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give	ENT of this application. Itted. Note the attached EXA	AMINER'S AMENDMENT or NOTICE		
5 CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted			
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 				
(a) ☐ including changes required by the Notice of Dransperson's Patent Drawing Review (P10-946) attached 1) ☐ hereto or 2) ☐ to Paper No./Mail Date .				
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.	Amendment / Comment or		of	
each sheet. Replacement sheet(s) should be labeled as such in the				
 DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I 			ne	
Attachment(s)	5 			
1. Notice of References Cited (PTO-892)		formal Patent Application		
 Notice of Draftperson's Patent Drawing Review (PTO-948) MInformation Disclosure Statements (PTO/SB/08), 	Paper No./	ummary (PTO-413), Mail Date Amendment/Comment		
Paper No./Mail Date <u>04/25/2005</u>				
 Examiner's Comment Regarding Requirement for Deposit of Biological Material 	8. ⊠ Examiner's 9. □ Other	Statement of Reasons for Allowance .	•	
		_		
	1			

Application/Control Number: 10/810,231 Page 2

Art Unit: 3761

DETAILED ACTION

Status of the claims

1. Claims 1-7, 9-12, 44-45 and 47-52 are pending in the application, independent claims 1, 45, 48 and 50 are amended; dependent claim 10 is amended to correct a typographical error.

2. Withdrawn objections:

3. The objection to claim 10 for a typographical error, made of record in the previous Office action, is withdrawn in view of Applicants' amendment to the claim.

Reasons for Allowance

- 4. Claims 1-7, 9-12, 44-45 and 47-52 are allowed.
- 5. The following is an examiner's statement of reasons for allowance:
- 6. Per Independent claims 1, 45, 48 and 50:
- 7. Independent claims 1, 45, 48 and 50 include limitations relating to a fluid collection apparatus comprising a housing, an open cavity configured to receive a body portion and associated body fluids, a holder configured to receive an evacuated tube, said holder and tube in fluid communication with each other through the holder comprising first and second ends and mating portions and connectors, said first and second connectors releasably coupled and sealingly engaged to mount the holder to the housing and establish fluid communications therebetween, the connectors further comprising male and female connectors, and a base mounted to the housing and said housing positioned above the holder and the holder accommodated partially within the base such that body fluids drain from the housing to the tube.

Application/Control Number: 10/810,231

Art Unit: 3761

8. The closest prior art uncovered during examination discloses the following elements:

Page 3

- 9. US Patent No. 7,118,559 issued to Toomey: teaches a fluid collection apparatus comprising a housing, holder configured to receive an evacuated tube, first and second mating portions comprising male and female connectors. Toomey does not teach a base mounted to the housing and the housing positioned over the holder and the holder accommodated partially within the base. The base mounted to the housing appears to define an improvement over the prior art as these features allow the fluid collection apparatus to be stood upright on a flat surface in use conditions such that the amount of handling or manipulation required during collection of blood is reduced which thereby reduces the exposure of a physician or nurse to needle-sticks and reduced exposure to the blood being collected during use conditions.
- 10. US Patent NO. 6,706,031 issued to Manera: teaches a needleless access system including a vial, syringe, elongated tubular housing providing amounting arrangement for a hub insert having a piercing element, and a stopper. Manera fails to teach or fairly suggest the housing defining an open cavity configured to receive a body portion and associated fluids, as the cavity of Manera is only capable of receiving fluids via the syringe needle; however said syringe needle is structurally incapable of receiving any body portion other than a fluid tissue such as blood and other fluids. Manera additionally fails to teach or fairly suggest a base, or a base supporting a housing, holder and evacuated tube.
- 11. US Patent No. 5,342,328 issued to Grossman: teaches a fluid collection apparatus having mating portions, needle, blood port, holder configured to receive an evacuated tube and the connectors establishing fluid communication between the housing and needle. Grossman fails to

Art Unit: 3761

teach or fairly suggest a base, connectors releasable mounting the holder to the housing and a first needless mating portion.

12. While the prior art of record discloses various elements of fluid collection components, none disclose or fairly suggest a housing defining an open cavity configured or capable of receiving a body portion and associated fluids in combination with a base mounted to a housing, and a holder, and the housing positioned above the holder and the holder partially accommodated within the base, as now specifically recited in independent claims 1, 45, 48 and 50. These features render the claimed invention nonobvious over the prior art of record. Claims 2-7, 9-12, 44; and 47, 49; and 51-52 are allowable as being dependent from independent claims 1, 48 and 50 respectively.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ginger T. Chapman whose telephone number is (571)272-4934. The examiner can normally be reached on Monday through Friday 9:30 a.m. to 6:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tatyana Zalukaeva can be reached on (571) 272-1115. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/810,231 Page 5

Art Unit: 3761

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ginger T Chapman/ Examiner, Art Unit 3761 06/04/09 /Tatyana Zalukaeva/ Supervisory Patent Examiner, Art Unit 3761